ATTORNEY DOCKET NO. 200304072-3

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inv ntor(s):

Joseph P. Miller et al.

C nfirmati n No.:

Application No.: Unassigned

Examiner: Unassigned

Filing Date:

11/24/2003

**Group Art Unit:** 

Title:

INTEGRATED CIRCUIT DEVICE/CIRCUIT BOARD CONNECTION APPARATUS

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

(x) under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

( ) under 37 CFR 1.97(c) together with either a:

() Statement under 37 CFR 1.97(e), or

( ) a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

( ) under 37 CFR 1.97 (d) together with a:

( ) Statement under 37 CFR 1.97(e)(1) or (2), and

( ) a \$180.00 fee set forth in 37 CFR 1.17(p).

(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

- (x) Applicant(s) submit herewith Form PTO 1449 Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.
- (X) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV 410034398 US

Date of Deposit 11/24/2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Ву

Typed Name: Tait R. Swanson

Respectfully submitted,

Joseph P. Miller et al.

Tait R. Swanson

Attorney/Agent for Applicant(s)

Reg. No. 48,226

Date: 11/24/2003

Telephone No.: (281) 970-4545

Sheet 1 of 5

						_					
FC	ORM I	PTO-1449			200304072-3		APPLICATION NO.	CONFIRMATION	N		
Li	ST OI	PATENTS AND PL	JBLICATIONS FOR		APPLICANT		<u> </u>				
A	PPLIC	ANT'S INFORMATI STATE	ON DISCLOSURE		Jos ph P. Miller et al.						
	a	Jse several sheets i			FILING DATE		GROUP				
		730 3000101 3110010 1	- 11000001.77		Herewith						
REFERE	NCE	DESIGNATION	U.S. F	PATEN	T DOCUMENTS						
XAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Columns, Relevant Passages or				
	1A	4,800,459	01/24/1989	Ta	kagi et al.						
	1B	5,028,986	07/02/1991	Su	gano et al.						
	1C	5,036,431	07/30/1991	Ad	lachi et al.						
	1D	5,239,448	08/24/1993	Pe	rkins et al.						
· ·	1E	5,243,497	09/07/1993	Ch	iu						
	1F	5,375,035	12/20/1994	Ste	Stoddard						
	1G	5,440,699	08/08/1995	Fa	rrand et al.						
	1H	5,459,642	10/17/1995		Stoddard Nguyen						
	<del> </del>	5,477,933									
	1J	5,481,436	01/02/1996	W	erther						
	1K	5,544,017	08/06/1996	Be	ilin et al.						
	11	DOCUMENT NUMBER	PUBLICATION DATE		OR APPLICANT		Pages/Columns/Lines evant Passages/Figure		slati		
	1L	JP3-280487A	12/11/1991	Ishii K	Cosaku ————————————————————————————————————				_		
	1M								_		
	1N					<u> </u>					
	10			<u> </u>							
	1P										
		OTHER REFI	ERENCES (includ	ing Au	ıthor, Title, Date, P	Pertin	ent Pages, etc.)		_		
	10	1									
	1 F	1									
		:									
	15	<b>3</b>									
EXAMI	INEP				DATE CONSIDER	RED					
COUNT						_					

Rev 10/03 (PTO1449)

Sheet 2 of 5

FORM PTO-1449					ATTY. DOCKET NO. 200304072-3		APPLICATION NO. CONFIRM	ATION			
LI	ST O	F PATENTS AND PU	JBLICATIONS FOR	<u> </u>	APPLICANT						
		ANT'S INFORMATI	ON DISCLOSURE	- 1	Joseph P. Miller et al.						
STATEMENT					FILING DATE		GROUP				
		Use several sheets i	f necessary)		Herewith						
EFERE	NCE	DESIGNATION	U.S. PA	ATENT	DOCUMENTS						
AMINER NITIAL		DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Columns, Lines Whe Relevant Passages or Figures A <sub>l</sub>				
	2A	5,701,233	12/23/1997	Cars	son et al.						
	2B	5,710,693	01/20/1998	Tsul	kada et al.						
	2C	5,754,405	05/19/1998	Derd	ouiche						
	2D	5,783,870	07/21/1998	Mos	tafazadeh et al.			<del></del>			
	2E	5,838,551	11/17/1998	Cha	n						
	2F	5,857,086	01/05/1999	Hora	an et al.						
	2G	5,859,989	01/12/1999	Olar	ig et al.						
	├──	5,878,237	03/02/1999	Olar	ig						
	21	5,889,970	03/30/1999	Hora	an et al.						
	2J	5,892,964	04/06/1999	Hora	an et al.						
	<del> </del>	5,907,903	06/01/1999	Ame	een et al.						
	01	DOCUMENT NUMBER	PUBLICATION DATE		ME OF PATENTEE OR APPLICANT		Pages/Columns/Lines Where evant Passages/Figures Appear	Transla attacl			
	2L						<b>.</b>				
	2M										
	2N										
	20										
	2P							<u> </u>			
	20		ERENCES (includir	ng Aut	hor, Title, Date, Po	ertine	ent Pages, etc.)				
	2F	R									
	25	3									

Sheet 3 of 5

								<del></del>			
F	ORM	PTO-1449			<b>200304072-3</b>		APPLICATION NO. CONFIRMA	ATION NO			
LI	ST O	F PATENTS AND P	UBLICATIONS FOR	}	APPLICANT						
		ANT'S INFORMAT			Joseph P. Miller et al.						
		Use several sheets			FILING DATE		GROUP				
	,,		ii necessary/		Herewith		***				
REFERE	NCE	DESIGNATION	U.S. PA	ATENT	DOCUMENTS						
EXAMINEF INITIAL	1 1		PUBLICATION DATE		NAME		Pages, Columns, Lines Wher Relevant Passages or Figures Ap				
	ЗА	5,923,860	07/13/1999		rig						
	ЗВ	5,937,173	08/10/1999	Olai	rig et al.						
	зс	5,944,809	08/31/1999	Olai	rig et al.						
	3D	5,974,250	10/26/1999	Ang	jelo et al.	Î		<del></del>			
	3E	6,018,810	01/25/2000	Olai	rig						
	3F	6,021,466	02/01/2000	Olarig							
	3G	6,061,794	05/09/2000	Ang	jelo et al.						
-	зн	6,070,253	05/30/2000	Tav	allaei et al.	-	4				
•	31	6,098,132	08/01/2000	Olai	rig et al.						
	3J	6,134,638	10/17/2000	Olai	rig et al.						
	<del>├</del> ──	6,167,476	12/26/2000		rig et al.			<del></del>			
	1	DOCUMENT	FOI PUBLICATION		PATENT DOCUM	<del></del>	Pages/Columns/Lines Where	Check if			
		NUMBER	DATE		OR APPLICANT		evant Passages/Figures Appear	Translatio attached			
	3L										
	3М										
	3N										
	30										
	ЗР										
		OTHER REF	ERENCES (includi	ng Aut	thor, Title, Date, F	Pertine	ent Pages, etc.)				
	30	ב									
<del></del>											
	36	3									
<u> </u>	38	6									
EXAM	INER	<u> </u>			DATE CONSIDERED						

Sheet 4 of 5

	0011	DTO 1440			ATTY. DOCKET NO.		APPLICATION NO.	CONFIRMATION	1 NC	
F	ORIVI	PTO-1449			200304072-3					
		F PATENTS AND PU CANT'S INFORMATI			APPLICANT					
		STATE			Joseph P. Miller et al.  FILING DATE GROUP					
	(1	Use several sheets i	f necessary)		Herewith					
REFERE	NCE	DESIGNATION	U.S. P	ATEN	T DOCUMENTS					
EXAMINER	3	DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Columns, Lines Where Relevant Passages or Figures Appear			
INITIAL	4.0	6,175,889B1	01/16/2001	Ola						
	+	6,223,239B1	04/24/2001	Ola				<del></del>		
	1	6,230,223B1	05/08/2001	Ola						
	+	6,230,225B1	05/08/2001	Ola	rig et al.					
	4E	6,246,666B1	06/12/2001	Pur	cell et al.					
	4F	6,252,177B1	06/26/2001	Sto	ddard	-				
-	4G	6,260,127B1	07/10/2001	Ola	rig et al.					
	4H	6,360,333B1	03/19/2002	Jar	sen et al.					
	41	6,363,449B1	03/26/2002	Sid	es et al.					
	4J	6,370,657B1	04/09/2002	Jar	sen et al.					
	4K	6,449,677B1	09/10/2002	Ola	Olarig et al.					
		DOCUMENT NUMBER	PUBLICATION DATE	N	AME OF PATENTEE OR APPLICANT	Re	Pages/Columns/Line levant Passages/Figu	s Where	eck if aslatio ached	
	4L									
	4M									
	4N									
	40									
	4P									
	40	<del></del>	ERENCES (includi	ng Au	thor, Title, Date, F	Pertin	ent Pages, etc.)			
	46	3								
	45	6								
EXAM	INER				DATE CONSIDE	RED	-		. •	

Rev 10/03 (PTO1449)

Sheet 5 of 5

			· · · · · · · · · · · · · · · · · · ·		<del></del>	—-т		T	_		
F	ORM	PTO-1449			ATTY. DOCKET NO. 200304072-3	ŀ	APPLICATION NO	CONFIRMATIO	ON NO		
LI	ST O	F PATENTS AND P	UBLICATIONS FOR		APPLICANT	l.	<u>.</u>	<u> </u>	_		
Α	PPLIC	ANT'S INFORMAT STATE	ION DISCLOSURE EMENT		Joseph P. Miller et al.						
	(1	Jse several sheets			FILING DATE  Her with		GROUP				
									_		
		DESIGNATION		ATENT	T DOCUMENTS	· ·			_		
XAMINEF INITIAL	-	DOCUMENT NUMBER	PUBLICATION DATE		NAME		Pages, Column Relevant Passages	s, Lines Where or Figures Appea	<u>r</u>		
	5A	4,870,746	10-1989	Kla	ser, John J.				_		
·	5В	5,177,594	01-1993	Cha	ance et al.						
	5C	5,294,754	03-1994	Wu	, Leon L.				_		
	5D	5,574,630	11-1996	Kre	sge et al.				_		
	5E	5,610,801	03-1997	Beg	is, Glenn						
	5F	6,201,701	03-2001	Line	den et al.			_			
<del></del>	5G	4,803,595	02-1989	Kra	us et al.						
	5Н	5,281,151	01-1994	Ari	Arima et al.				_		
	51	5,635,767	06-1997	We	nzel et al.				<del></del>		
	5J	6,026,221	02-2000	Ellis	son et al.						
	5K	6,094,056	07-2000	Bar	dsley et al.						
	 	DOCUMENT NUMBER	PUBLICATION DATE	NA	AME OF PATENTEE OR APPLICANT		Pages/Columns/Line evant Passages/Fig	es Where	Check in anslation of the character of t		
	5L					_					
	5M										
	5N										
	50		·								
	5P										
	50	<del></del>	ERENCES (includi	ng Au	thor, Title, Date, P	Pertine	nt Pages, etc.)	·			
	51	3		., .,					<del></del>		
									_		
	55	S									
EXAM	INED				DATE CONSIDER	RED			_		
-AAIVII											
					1						

Rev 10/03 (PTO1449)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Application of:	§		
	Joseph P. Miller et al.	§	Group Art Un	it: Unassigned
	•	§	•	
Serial	No.: Unassigned	§		
Filed:	November 24, 2003	§		
		§	Examiner:	Unassigned
Parent	t Application Serial No.: 10/244,876	§		
Parent	Application Filed: September 17, 2002	§		
		§		
For:	INTEGRATED CIRCUIT	§	Atty. Docket:	200304072-3
	DEVICE/CIRCUIT BOARD	§		COMP:01052/FLE/SWA
	CONNECTION APPARATUS	§		
		-		

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313 EXPRESS MAIL MAILING LABEL

NUMBER:
DATE OF DEPOSIT:

EV 410034398 US
November 24, 2003

Pursuant to 37 C.F.R. § 1.10, I hereby certify that I am personally depositing this paper or fee with the U.S. Postal Service, "Express Mail Post Office to Addressee" service on the date indicated above in a sealed envelope (a) having the above-numbered Express Mail label and sufficient postage affixed, and (b) addressed to the Commissioner for Patents, Alexandria, VA 22313.

November 24, 2003

Date

Tait R. Swanson

Sir:

# ADDENUM TO INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.97(b)(1) AND 1.98

In compliance with the duty of disclosure under 37 C.F.R. § 1.56(a), Applicants respectfully request that this Information Disclosure Statement be entered and that the listed references be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made, as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b), or as a representation that no other possibly material information, as defined in 37 C.F.R. § 1.56(b), exists.

Furthermore, the references listed on the attached Form 1449 are not to be construed as

an admission that these references qualify as prior art as to the above referenced application or

any related application. Rather, these references are being presented for the Examiner's

consideration without prejudice to Applicants' right to demonstrate that any of these references

do not qualify as prior art should the Examiner choose to apply any of these references. In fact,

these references are presented based on the references of record in the parent application.

The following information is listed on the attached Form 1449 in accordance with 37

C.F.R. §1.98. Any explanation of non-English language documents contained in this

Information Disclosure Statement is believed to constitute a concise explanation of the

relevance of the listed reference as it is presently understood by the individual designated in §

1.56(c) most knowledgeable about the content of the listed reference, in accordance with 37

C.F.R. § 1.98(a)(3). Regarding the Japanese reference cited in the attached form 1449,

Applicants believe this reference to relate generally to mounting structures for electronic

components.

Respectfully submitted,

Date: November 24, 2003

Reg. No. 48,226

(281) 970-4545

HEWLETT-PACKARD COMPANY

Intellectual Property Administration

P.O. Box 272400

Fort Collins, Colorado 8-527-2400

2